

# AGENDA

## 11<sup>ème</sup> ICGLR-OECD-UN GoE Forum on Responsible Mineral Supply Chains

2-4 May 2017, OECD, Paris



## ■ About the OECD

The Organisation for Economic Co-operation and Development (OECD) is a forum in which governments compare and exchange policy experiences, identify good practices in light of emerging challenges, and promote decisions and recommendations to produce better policies for better lives. The OECD's mission is to promote policies that improve economic and social well-being of people around the world.

## ■ About the ICGLR

The International Conference on the Great Lakes Region (ICGLR) is an inter-governmental organization of the countries in the African Great Lakes Region. Its establishment was based on the recognition that political instability and conflicts in these countries have a considerable regional dimension and thus require a concerted effort in order to promote sustainable peace and development.

[www.icglr.org/index.php/en/](http://www.icglr.org/index.php/en/)

## ■ About the United Nations Group of Experts (UN GoE)

The UN GoE for the Democratic Republic of Congo (DRC) was established by Resolution 1533 (2004) to monitor the implementation of the UN Security Council sanctions regime for the DRC. The GoE is composed of experts who conduct investigations in areas where armed groups are active, including into political and military leaders of armed groups, individuals and entities illegally supporting armed groups through illicit trade of natural resources, elements of state security forces engaged in illegal natural resource exploitation and due diligence implementation with regard to natural resources.

[www.un.org/sc/suborg/en/sanctions/](http://www.un.org/sc/suborg/en/sanctions/)

## ■ About the OECD Due Diligence Guidance

The [OECD Due Diligence Guidance for Responsible Supply Chains of Minerals from Conflict-Affected and High-Risk Areas](https://mneguidelines.oecd.org/mining.htm) (OECD Due Diligence Guidance) provides detailed recommendations to help companies respect human rights and avoid contributing to conflict through their mineral purchasing decisions and practices. The OECD Due Diligence Guidance is for use by any company potentially sourcing minerals or metals from conflict-affected and high-risk areas. It is one of the international frameworks available to help companies meet their due diligence reporting requirements.

[mneguidelines.oecd.org/mining.htm](https://mneguidelines.oecd.org/mining.htm)

## ■ Background

The ICGLR-OECD-UN Group of Expert Forum on Responsible Mineral Supply Chains was initiated in 2011. The Forum is jointly organised by the OECD, the International Conference on the Great Lakes Region (ICGLR) and UN Group of Experts on the Democratic Republic of Congo with the support of the European Union (EU).

## ■ Meeting format

The 11<sup>th</sup> ICGLR-OECD-UN GoE Forum on Responsible Mineral Supply Chains will focus on conformance with and implementation of the [OECD Due Diligence Guidance for Responsible Supply Chains of Minerals from Conflict-Affected and High-Risk Areas](#) (OECD Due Diligence Guidance), the ICGLR regional certification mechanism, and other initiatives to enable responsible mineral supply chains.

Specifically, the 11<sup>th</sup> Forum will explore the following themes:

- Regulatory, compliance and alignment efforts relating to responsible mineral supply chains;
- Collaboration between governments, companies and civil society for due diligence implementation;
- The human rights dimension of supply chain due diligence;
- Risks and due diligence efforts in mineral supply chains beyond tin, tantalum, tungsten and gold;

Regional implementation of due diligence in Africa's Great Lakes region, West Africa, Latin America and key markets, such as China, and India among others.

## ■ Chatham House Rule

The meeting will be held under the Chatham House Rule from Tuesday 2 May 11:00 until the end of the day on 4 May 2017; exceptionally, the opening sessions in the morning of 2 May will be open to the press. *"When a meeting, or part thereof, is held under the Chatham House Rule, participants are free to use the information received, but neither the identity nor the affiliation of the speaker(s), nor that of any other participant, may be revealed."* This rule applies to social media.

## ■ Practical information

**2-4 May 2017 | OECD Conference Centre, Room CC1**

In light of increased security measures, you must pre-register for the meeting and print your validated registration to access the OECD reception area. On arrival, you must register at the Reception desk to obtain a visitor's badge. Please bring photo identification with you. **Please arrive well in advance of the start of your meeting to allow sufficient time for the formalities.**

The Forum will be followed by an OECD-World Bank event on artisanal and small scale mining which will take place on 5 May 2017 at 9:00 – 15:30 at the OECD Conference Center.

## ■ Contact

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## ■ DAY 1: TUESDAY, 2 MAY 2017 | General updates and overview

11:00 – 11:30	<b>Forum opening *** OPEN TO MEDIA ***</b>
📍 Room CC1	<p><b>Moderator:</b> <a href="#">Mr. Guus Houttuin</a>, Trade Issues and Business Coordinator, European External Action Service (EEAS) and Chair of the OECD Multi-stakeholder Steering Group (MSG)</p> <p><b>Speakers:</b></p> <ul style="list-style-type: none"> <li>▪ <a href="#">Mr. Rintaro Tamaki</a>, Deputy Secretary General of the OECD</li> <li>▪ <a href="#">Mr. Zobel Behalal</a>, UN Group of Experts on the Democratic Republic of the Congo</li> <li>▪ <a href="#">Ambassador Zachary Muburi-Muita</a>, Executive Secretary of the International Conference of the Great Lakes Region (ICGLR)</li> </ul> <p><b>Keynote address</b></p> <ul style="list-style-type: none"> <li>▪ <a href="#">Ms. Cecilia Malmström</a>, European Commissioner for Trade</li> </ul>
11:30 – 12:45	<b>Responsible mining for peace, stability and development *** OPEN TO MEDIA ***</b>
📍 Room CC1	<p>This opening panel will reflect on the conditions in which responsible mining and market-based mechanisms for responsible mineral supply chains can help protect human rights and be a force for peace, stability and development. The panel will take stock of progress made so far, and identify challenges and opportunities for implementing responsible mineral sourcing efforts globally according to the OECD Due Diligence Guidance.</p> <p><b>Moderator:</b> <a href="#">Mr. Rintaro Tamaki</a>, Deputy Secretary General of the OECD</p> <p><b>Speakers:</b></p> <ul style="list-style-type: none"> <li>▪ <a href="#">Ms. Cecilia Malmström</a>, European Commissioner for Trade</li> <li>▪ <a href="#">Mr. Carlos Cante</a>, Vice Minister of Mines, Colombia (tbc)</li> <li>▪ <a href="#">Ambassador Said Djinnit</a>, UN Special Envoy for the Great Lakes Region</li> <li>▪ <a href="#">Ambassador Zachary Muburi-Muita</a>, Executive Secretary of the International Conference of the Great Lakes Region (ICGLR)</li> </ul>
12:45 – 14:15	<b>Lunch break</b>
13:15 -14:15	<b>Lunch session: Introduction to the OECD National Contact Points</b>
📍 Room CC9	<p>This session will be an opportunity for participants to learn about the NCP system, which provides a government-backed grievance mechanism for responsible business conduct under the OECD Guidelines for Multinational Enterprises.</p>
14:15 – 16:00	<b>Regulatory efforts on responsible mineral supply chains and promotion of responsible sourcing by countries and key markets</b>
📍 Room CC1	<p>This session will update participants on the latest developments in the drafting or implementation of due diligence-based regulations as well as other policies that are relevant to responsible mineral supply chains. The session will focus on the EU regulation, US Dodd-Frank Act and developments in China and their alignment to the OECD Due Diligence Guidance.</p>

16:00– 16:30	Coffee break
16:30 – 17:30	<b>OECD Alignment Assessment</b>
📍 Room CC1	This session will introduce the Alignment Assessment project, assessment methodology and cross-cutting issues identified in the pilot assessment of five industry programmes. The tool is designed to assess alignment of industry programmes' standards and implementation efforts with the OECD Due Diligence Guidance.
17:30 – 18:00	<b>Presentation of the OECD minerals implementation programme</b>
📍 Room CC1	This session will focus on the progress made since May 2016 and the programme goals and activities for 2017 and 2018. The OECD will also provide an update on the Multi-Stakeholder Steering Group for the implementation programme on responsible mineral supply chains.
18:00– 20:00	<b>Cocktail - Room G. Marshall</b>

■ **DAY 2: WEDNESDAY, 3 MAY 2017** | **Monitoring uptake of the OECD Guidance, results to date, promoting the Guidance beyond tin, tantalum, tungsten and gold**

9:00- 10:30	<b>The role of adherent governments in promoting the OECD Due Diligence Guidance</b>	
📍 Room CC1	This session will focus on the role of governments adhering to the OECD Recommendation of Council on Due Diligence Guidance for Responsible Mineral Supply Chains in promoting due diligence and supporting implementation, drawing from the findings in the 2015 report to OECD Council on country efforts. The session will seek to explore with panellists how governments could further address gaps in implementation over the next years.	
10:30-11:00	Coffee break	
11:00 – 12:30	<b>Parallel sessions</b>	
<b>Upstream session</b>		<b>Downstream session</b>
<b>Improving conditions on the ground</b>		<b>Risk-based approaches and smelter engagement</b>
📍 Room CC1		📍 Room CC9
This session will analyse efforts to prevent or mitigate severe human rights impacts, including forced and child labour, as well as conflict financing, bribery, fraud and money laundering in mineral supply chains. Discussants are invited to provide tangible examples of how on-the-ground risk mitigation measures, highlighting the challenges and how these were overcome. Where examples are not forthcoming, participants will be invited to identify the reasons for lack of on-the-ground progress, and the opportunities to strengthen implementation.		This session will analyse how downstream companies can strengthen risk-based approaches to collect and verify information from suppliers, support smelter engagement and assessments, and direct sourcing to responsible smelters. Discussants are invited to provide examples and case studies of approaches taken in supply chains of varying complexity, highlighting the challenges, with a view to supporting improved understanding of good practices. Where examples are not forthcoming, participants will be invited to identify the reasons for lack of progress, and the opportunities to strengthen implementation.



12:30 – 13:00					Report back from parallel sessions							
📍 Room CC1					The sessions will seek to reconcile discussions from upstream and downstream sessions and analyse the appropriate role for downstream companies to assess and support improvement on the ground through engagement with smelter and refiners in their supply chain, or by other means.							
13:00 – 14:15					Lunch session: Responsible mineral supply chains and gender							
📍 Room CC9					Hosted by the OECD, this lunch session will be an opportunity to learn how to enhance the gender dimension of responsible mineral supply chains. This session is by invitation only.							
14:15 -16:00					Beyond 3Ts and gold – the applicability of the OECD Guidance to all mineral supply chains							
14:15-14:45					OECD Handbook on risks associated with production and trade of natural resources							
📍 Room CC1					This session will introduce the on-going work at the OECD to prepare a virtual handbook and repository of information on risks associated with production and trade of natural resources. This tool will seek to provide companies with high-level information on reported risks associated with countries and specific mineral supply chains to support prioritisation of risk-based due diligence for mineral resources, beyond tin, tantalum, tungsten and gold. The Handbook will aim to collect and rationalise available information on supply chains and cross-cutting risks such as support to armed conflict, bribery, fraud, but also serious human rights abuses such as the worst forms of child labour, as set forth in Annex II of the OECD Guidance.							
14:45 – 16:00					Mineral specific break-out sessions							
					These sessions will explore risks related to human rights, conflict, money laundering, bribery and tax evasion that may be associated with the production and trade of various mineral resources, and explore relevant due diligence efforts or initiatives in those supply chains, including the opportunities for alignment with the OECD Guidance							
					Mica 📍 Room CC16		Cobalt 📍 Room CC1		Coal 📍 Room E		Precious Stones 📍 Room CC9	
16:00 – 16:30					Coffee break							
16:30 – 18:00					Role of the financial sector in supporting responsible mineral sourcing							
📍 Room CC1					Participants in this session will discuss due diligence efforts of institutional investors, banks and other financing institutions to support responsible mineral supply chains of their investee companies or clients in line with the OECD Guidance. It will provide a perspective on why investors are increasingly looking at due diligence as a means to evaluate their investments.							

## ■ DAY 3: THURSDAY, 4 MAY 2017 | The global dimensions of the OECD Guidance

9:00 – 12:45		Responsible mineral supply chains in Africa's Great Lakes Region	
9:00 – 10:30	Promoting coherence between due diligence, on-the-ground programmes and the ICGLR regional Initiative against the illegal exploitation of natural resources		
📍 Room CC1	This session will examine opportunities and challenges in ensuring responsible mineral supply chains in Africa's Great Lakes Region by focusing on implementation of due diligence and ICGLR initiative. Specifically, panellists will be asked to assess the practical relationship between due diligence, existing on-the-ground initiatives, market acceptance and ICGLR activities including audits. ICGLR countries will be invited to provide updates on progress if relevant.		
10:30 – 11:15		Coffee break	
11:15 – 12:45		Parallel sessions	
Gold from the Great Lakes Region		3Ts from the Great Lakes Region	
📍 Room CC1	This session will focus on the regional and global flows of gold, and actions stakeholders are taking to promote a responsible gold supply chain. Participants will be asked to address on-going concerns with regards to smuggling, illegal flows, and human rights impacts and provide views on how best to address these problems.		
		📍 Room CC9	
		This session will go in-depth on the relationship between due diligence and ICGLR activities including audits and on-the-ground initiatives in 3T production and trade, with a view to promoting coherence, efficiencies of scale and effective due diligence in line with international standards. Participants will be asked to focus specifically on what actions need to be taken to promote cohesion and avoid duplication.	
12:45 – 14:15		Lunch session: SMEs and due diligence	
📍 Room CC9	Hosted by Estelle Levin Ltd, this lunch session will be an opportunity to learn about the consultation launched by the European Commission on SMEs and their due diligence capacity.		
14:15 – 17:30		Country workshops	
	Country workshops will explore, where relevant, risks related to human rights, conflict, bribery, money laundering, and tax evasion that may be associated with the production or trade of minerals in these countries. The sessions will highlight the challenges, note progress to date and suggest ways forward to promote responsible mineral supply chains in line with the OECD Guidance. Sessions will also provide updates on due diligence implementation and specific initiatives.		
14:15 – 15:45	Myanmar	Colombia	India
	📍 Room D	📍 Room CC9	📍 Room CC9
15:45– 16:30		Coffee break	
16:30 – 17:30	West Africa	People's Republic of China	
	📍 Room CC1	📍 Room CC9	
17:30 – 18:15		Closing session	
📍 Room CC1	Concluding remarks and report back from country sessions.		

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