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# Stocktaking exercise on the OECD Guidelines for Multinational Enterprises

OECD Public Consultation | March 2021 - April 2022

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## Summary of NCP Survey Findings

May 2022

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OECD Centre for Responsible Business Conduct,  
Organisation for Economic Co-operation and Development  
Paris, France



## Background

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In 2021, 10 years since the most recent revision of the OECD Guidelines for Multilateral Enterprises, the OECD Working Party on Responsible Business Conduct (WPRBC) initiated a stocktaking exercise to assess the key developments, achievements and challenges related to the OECD Guidelines and their unique grievance mechanism the National Contact Points for RBC, as well as the ecosystem in which the OECD Guidelines are implemented.

To ensure that the stocktaking exercise benefitted from the views and experiences of all stakeholders, the OECD made the resulting draft stocktaking report publicly available for consultation and comment from 1 June-14 September 2021. This document presents a summary of responses received to the online survey conducted during the stocktaking exercise.

<https://mneguidelines.oecd.org/public-consultation-stocktaking-study-on-the-oecd-guidelines-for-multinational-enterprises.htm>

## Third party content disclaimer

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This document presents a summary of responses received to the online survey conducted during the [public consultation held from June-September 2021](#) for the stocktaking exercise on the OECD Guidelines for Multinational Enterprises. Except for minor formatting changes and subject to compliance with OECD web content rules, the submissions are reproduced herein as received. The OECD is providing an opportunity through its website and this consultation document for viewing information or submissions provided by third parties who are not associated with the OECD. All such third-party submissions included in the website and consultation document are the sole responsibility of the persons from whom the content originated.

# Report of NCP survey findings

## Introduction

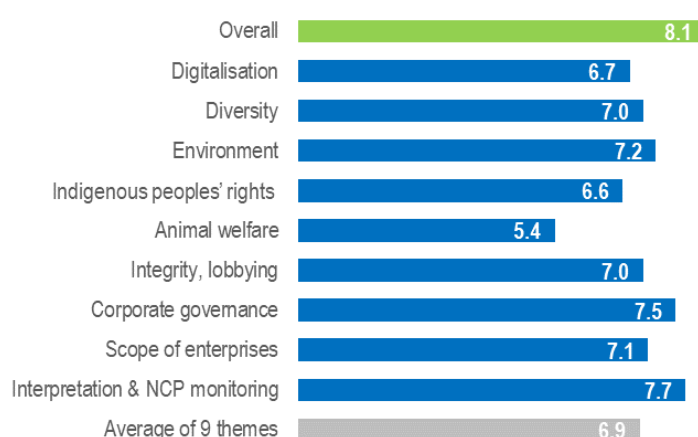
In November 2020, the OECD Working Party on Responsible Business Conduct (WPRBC) agreed to undertake a stocktaking exercise on the OECD Guidelines for Multinational Enterprises. The purpose of the stocktaking is to take account of the key developments, achievements and challenges related to the OECD Guidelines and their unique grievance mechanism, the National Contact Points (NCPs) for Responsible Business Conduct (RBC), as well as the ecosystem in which the OECD Guidelines are implemented.

In support of the stocktaking exercise, the WPRBC conducted a survey amongst NCPs to evaluate the overall suitability of the Guidelines to meet future RBC challenges and their suitability across nine specific themes. This report presents the findings from the survey based on responses received from 38 NCPs.

## Suitability of the Guidelines

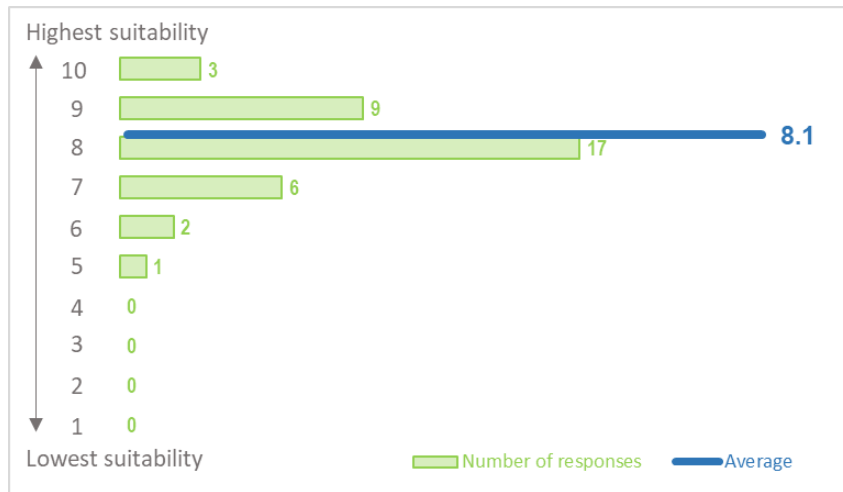
The 38 NCPs who responded to the survey provided an average rating of 8.1 for overall suitability (on a scale from 1 to 10, where 1 is the lowest suitability and 10 is the highest suitability). This overall suitability rating of 8.1 is higher than the suitability ratings provided for all nine themes, where NCPs responded on average with a 6.9 rating. The three themes with the highest suitability rating are interpretation and NCP monitoring (7.7), corporate governance (7.5) and environment (7.2). The three themes with the lowest suitability rating are digitalisation (6.7), indigenous peoples' rights (6.6) and animal welfare (5.4).

Figure 1. Overall suitability of the Guidelines and across 9 themes



Note: N= [31-37]. Q= Overall: "How does your country evaluate the overall suitability of the Guidelines to meet the challenges for RBC in the future?" List of themes: "How does your country evaluate the suitability of the Guidelines for the following theme?"

**Figure 2. Overall suitability of the Guidelines to meet future RBC challenges**

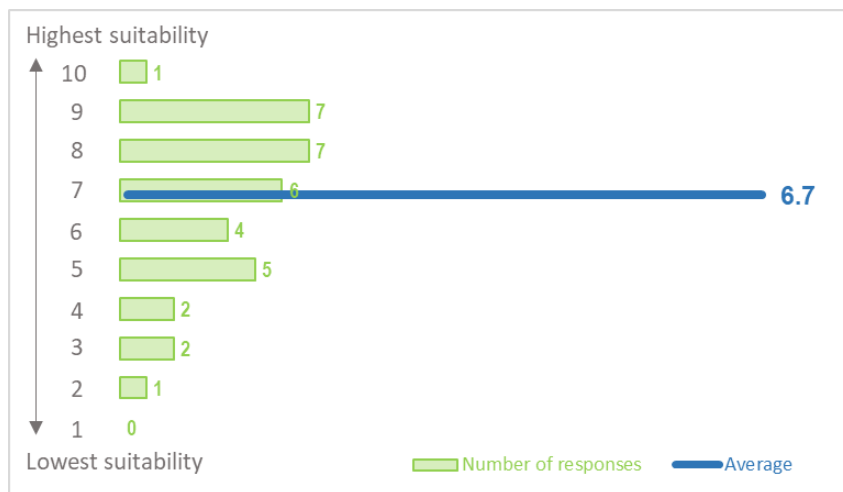


Note: N=38. Q=How does your country evaluate the overall suitability of the Guidelines to meet the challenges for RBC in the future?

**Suitability of the Guidelines across 9 themes**

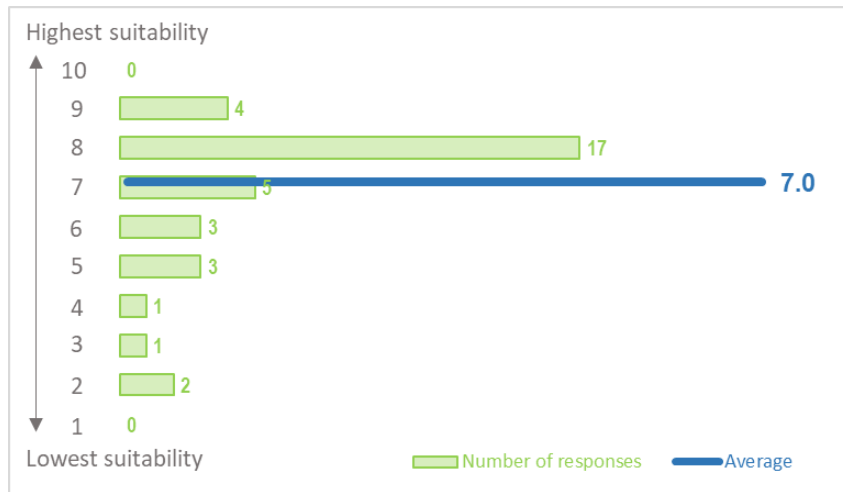
Q = How does your country evaluate the suitability of the Guidelines for the following themes?

**Figure A.1. Digitalisation**



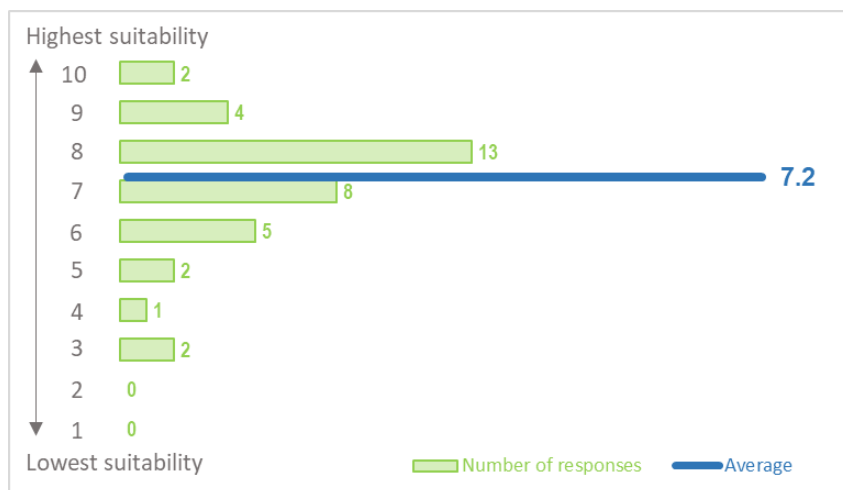
Note: N=35

Figure A.2. Diversity, including gender



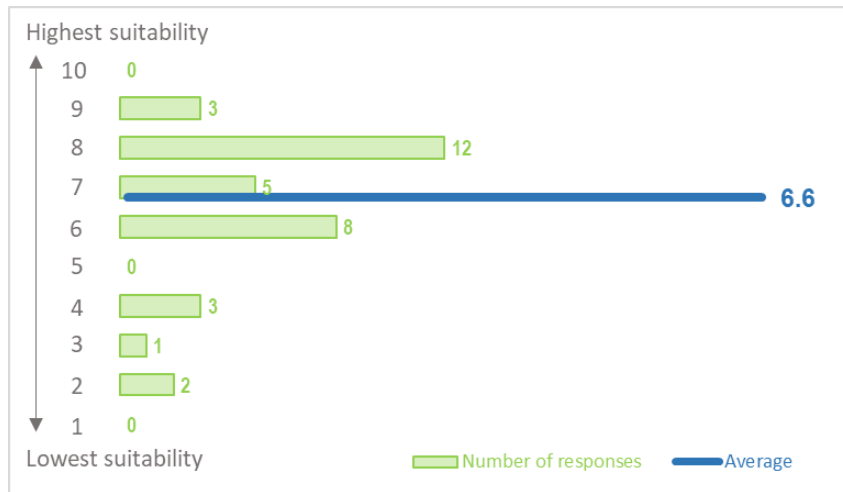
Note: N=35

Figure A.3. Global environmental issues



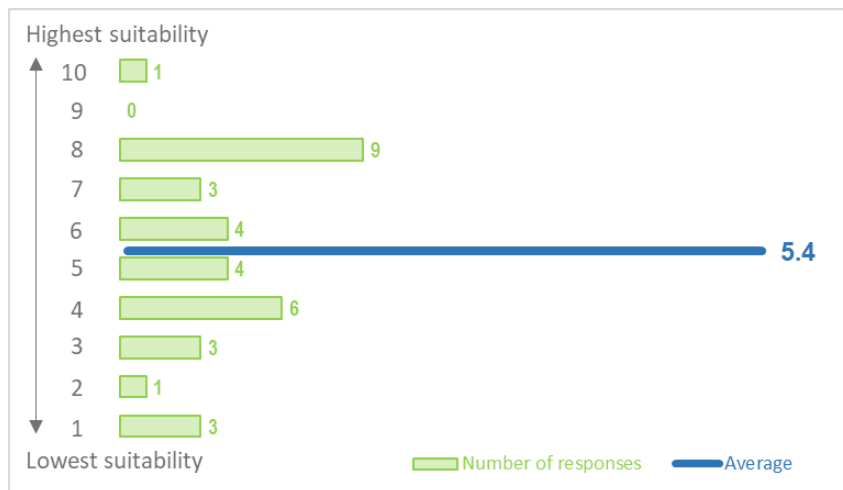
Note: N=37.

Figure A.4. Indigenous peoples' rights



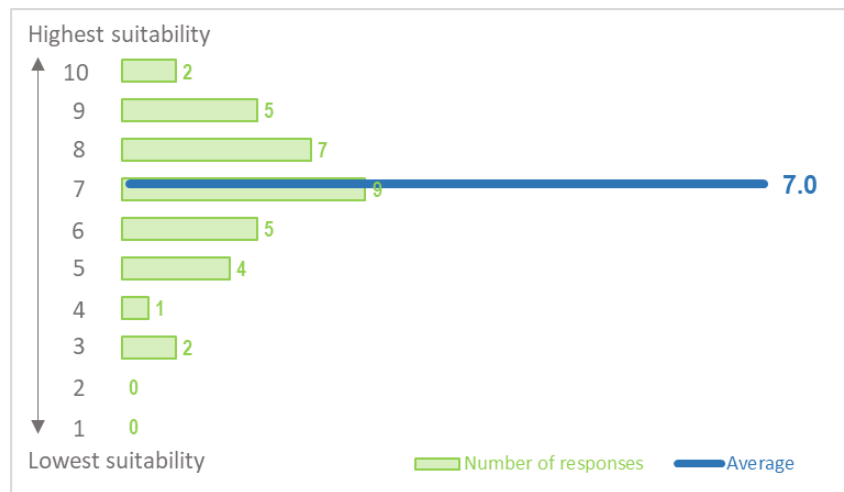
Note: N=34

Figure A.5. Animal welfare



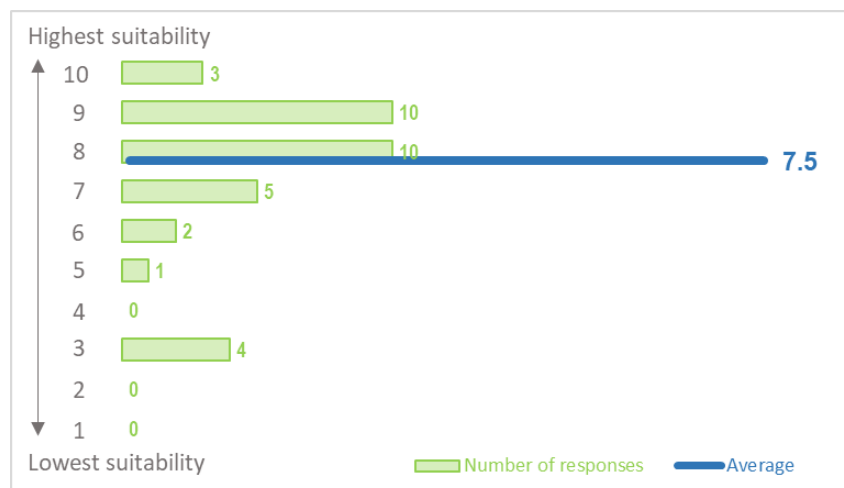
Note: N=34

Figure A.6. Integrity, lobbying



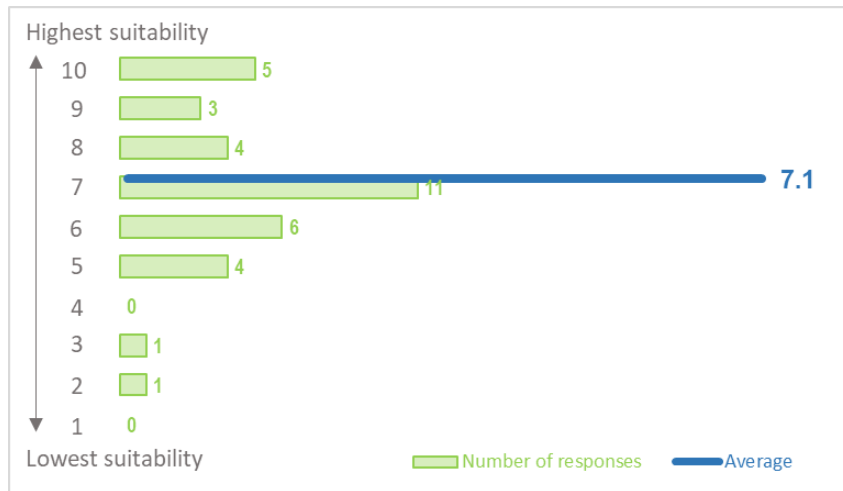
Note: N=35

Figure A.7. Corporate governance



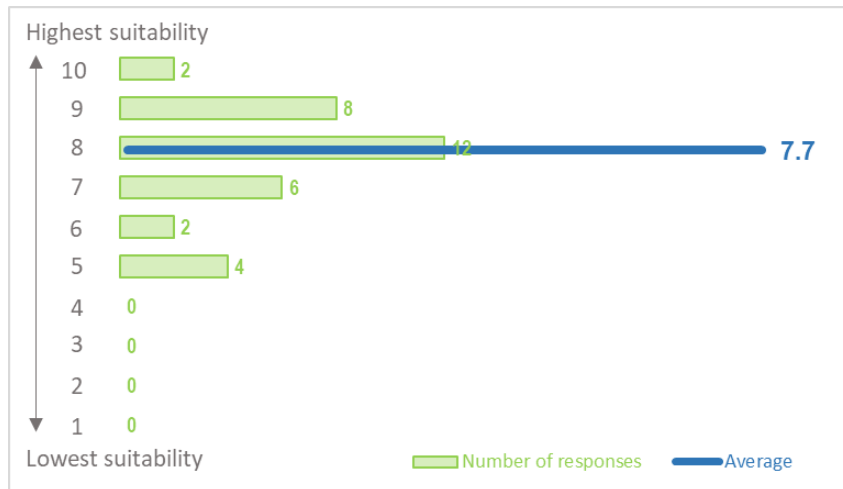
Note: N=35

Figure A.8. Scope of enterprises covered (e.g. non-traditional MNEs, SMEs, other)



Note: N=35

Figure A.9. Interpretation of the Guidelines and monitoring of NCP network

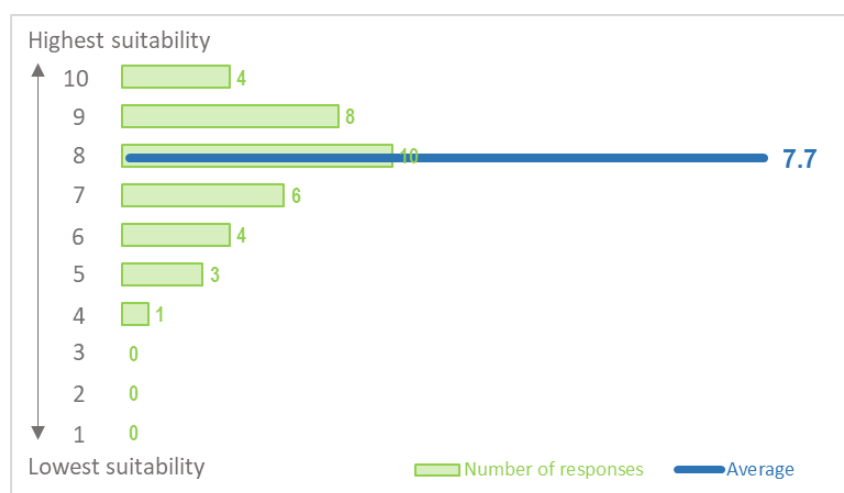


Note: N=34



NCPs were asked to rate the suitability of the provisions of the procedural guidance regarding interpretation of the Guidelines and monitoring of the NCP network, taking into account the creation of the WPRBC in 2013.

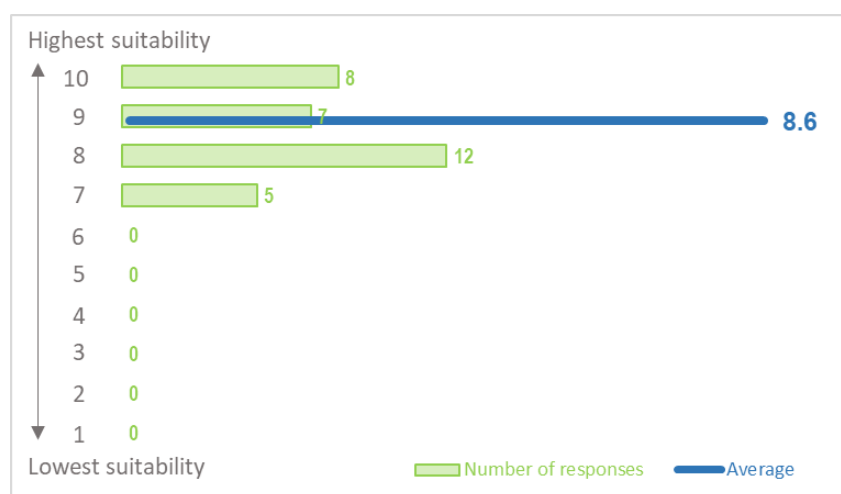
**Figure A.10. Suitability of the provisions of the procedural guidance**



Note: N=36. How does your country evaluate the suitability of the provisions of the Procedural Guidance regarding interpretation of the Guidelines and monitoring of the NCP network, taking into account the creation of the WPRBC in 2013?

NCPs were asked to rate the suitability of the provisions on due diligence in the Guidelines, in particular in light of the various due diligence guidance adopted since 2011.

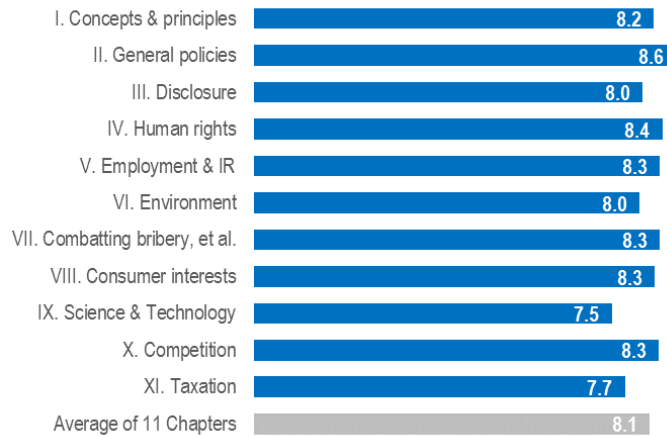
**Figure A.11. Suitability of the provisions on due diligence**



Note: N=32. Q=How does your country evaluate the suitability of the provisions on due diligence in the Guidelines, in particular in light of the various due diligence guidance adopted since 2011?

## Relevance of the provisions across Chapters

Figure A.1. Relevance of the provisions across Chapters



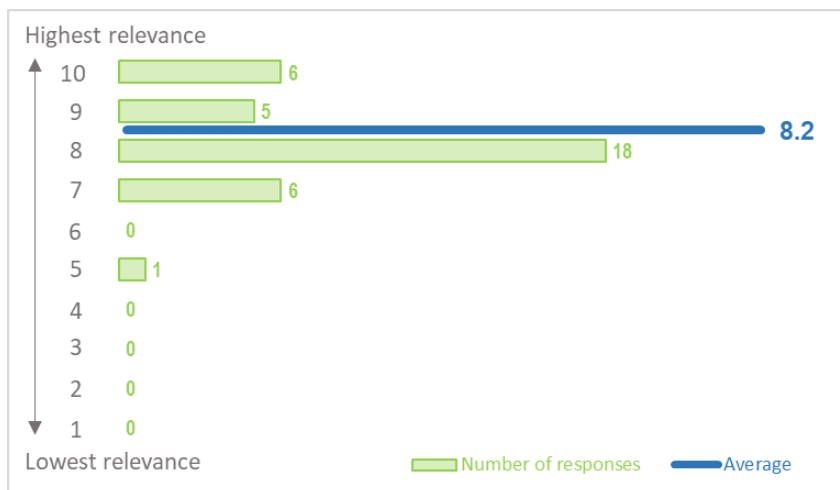
Note: N= [31-36]. Q = How does your country evaluate the continued relevance of the provisions in this Chapter? [Scale 1=lowest relevance; 10=highest relevance]

NCPs were asked to evaluate the continued relevance of the provisions across the 11 Chapters of the Guidelines and the Procedural Guidance. The 36 NCPs who responded indicated that the provisions were most relevant for the Chapters on general policies (8.6) and human rights (8.4) and least relevant for the Chapters on taxation (7.7) and science & technology (7.5). On average, NCPs provided a relevance rating of 8.1 across all 11 Chapters. Responses from NCPs were the mostly aligned for the Chapter on General Policies (only 2 responses out of 35 were outside of the ratings of 8, 9 and 10). The chapter on science & technology received the highest degree of variability among NCPs (the ratings from 4 to 10 received between 2 and 9 responses).

## Relevance of the provisions by Chapter

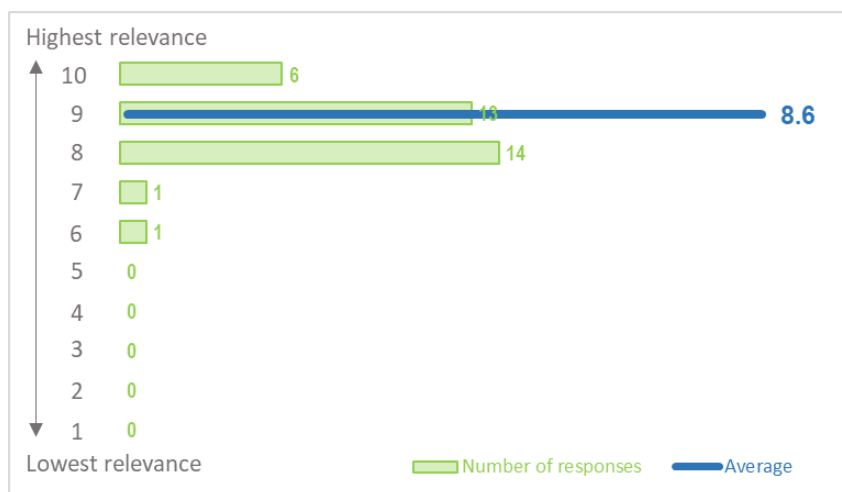
Q = How does your country evaluate the continued relevance of the provisions in this Chapter?

Figure A.1. I. Concepts and principles



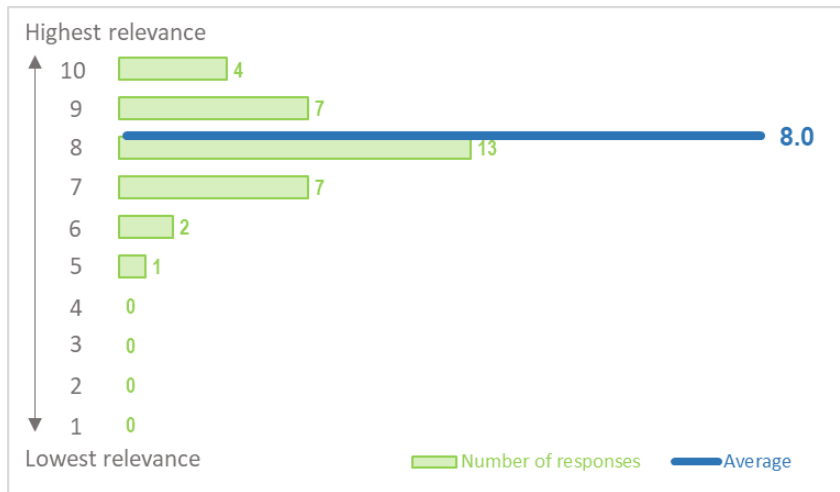
Note: N=36

Figure A.2. II. General policies



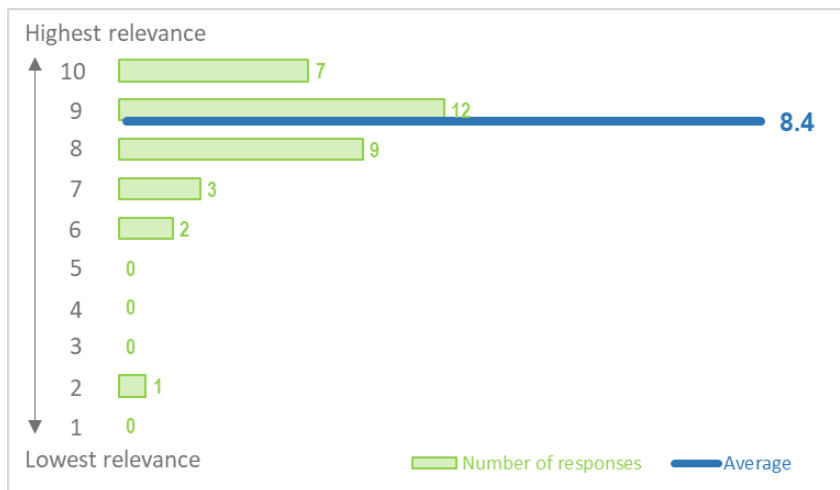
Note: N=35

Figure A.3. III. Disclosure



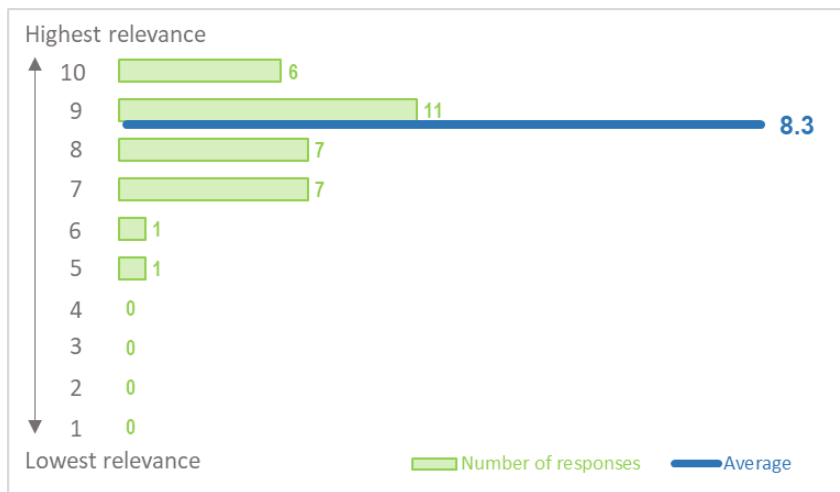
Note: N=34

Figure A.4. IV. Human rights



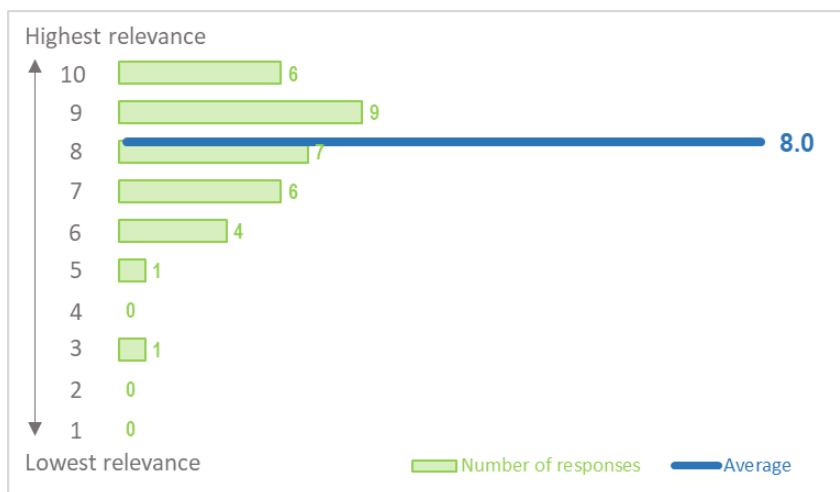
Note: N=34

Figure A.5. V. Employment and industrial relations



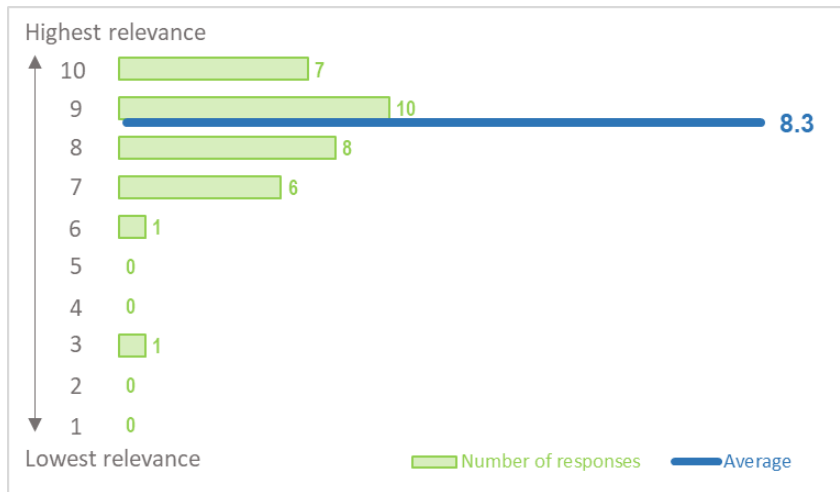
Note: N=33

Figure A.6. VI. Environment



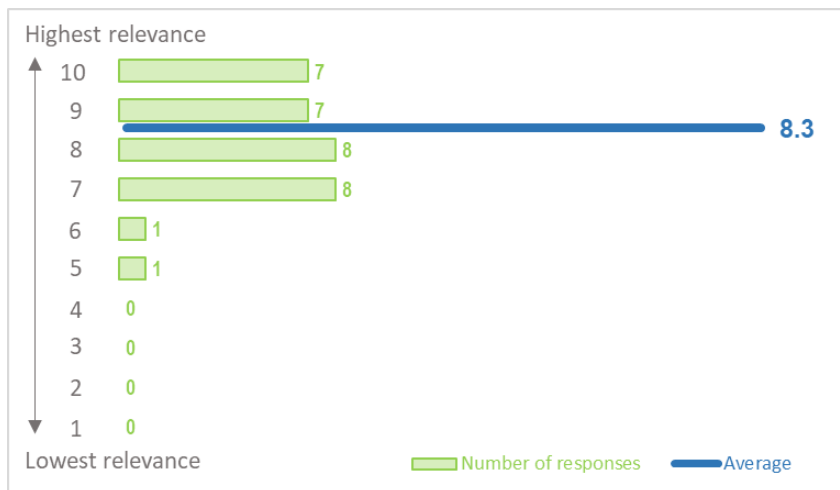
Note: N=34

Figure A.7. VII. Combatting bribery, bribe solicitation and extortion



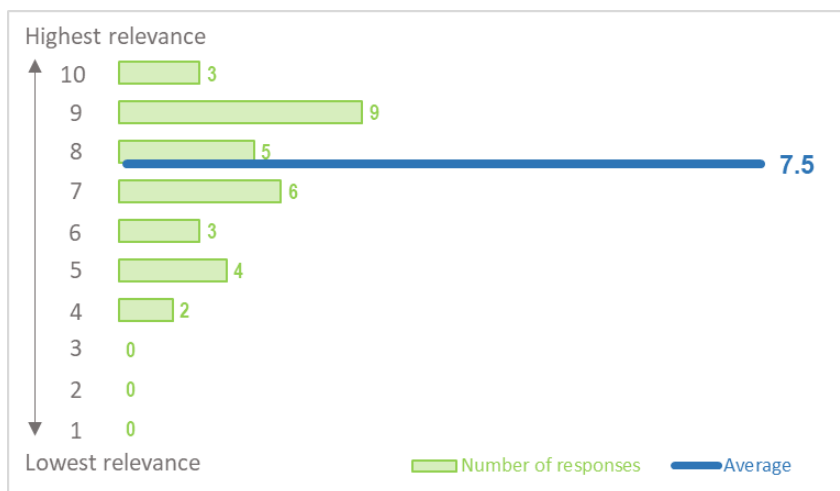
Note: N=33

Figure A.8. VIII. Consumer interests



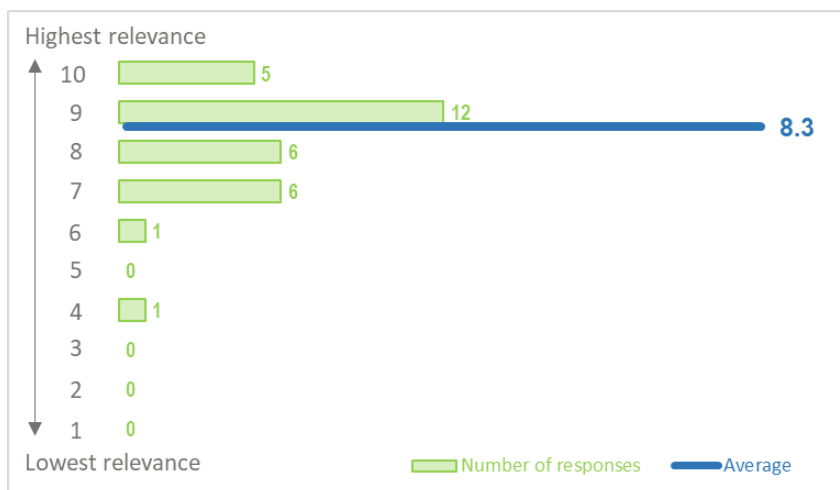
Note: N=32

Figure A.9. IX. Science and technology



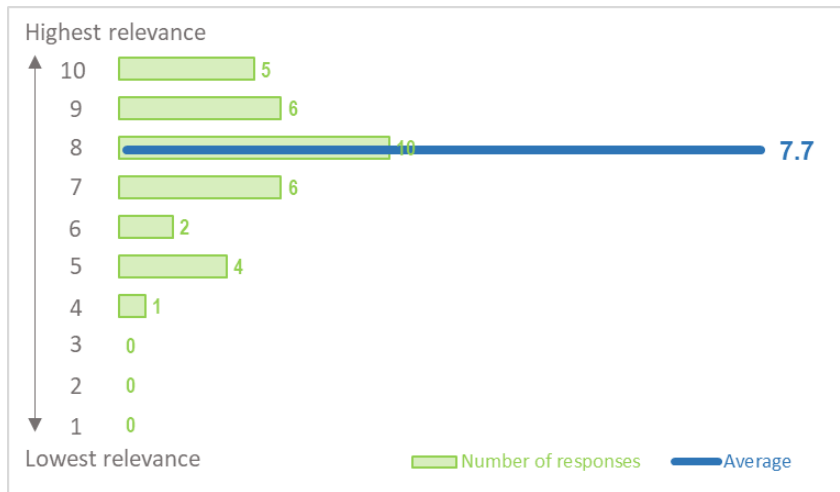
Note: N=32

Figure A.10. X. Competition



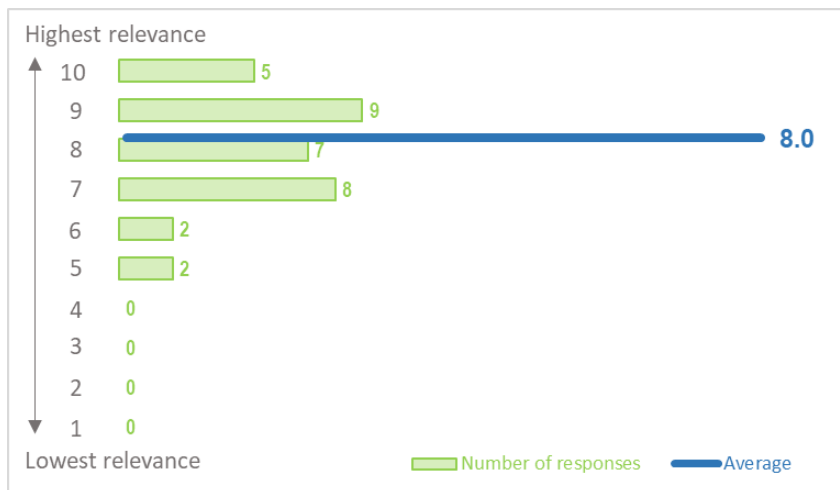
Note: N=31

Figure A.11. XI. Taxation



Note: N=34

Figure A.12. Procedural Guidance



Note: N=33. Q=How does your country evaluate the continued relevance of the provisions of the Procedural Guidance?