AGENDA

11th ICGLR-OECD-UN GoE Forum on Responsible Mineral Supply Chains

2-4 May 2017, OECD, Paris









■ About the OECD

The Organisation for Economic Co-operation and Development (OECD) is a forum in which governments compare and exchange policy experiences, identify good practices in light of emerging challenges, and promote decisions and recommendations to produce better policies for better lives. The OECD's mission is to promote policies that improve economic and social well-being of people around the world.

■ About the ICGLR

The International Conference on the Great Lakes Region (ICGLR) is an inter-governmental organization of the countries in the African Great Lakes Region. Its establishment was based on the recognition that political instability and conflicts in these countries have a considerable regional dimension and thus require a concerted effort in order to promote sustainable peace and development.

www.icalr.org/index.php/en/

■ About the United Nations Group of Experts (UN GoE)

The UN GoE for the Democratic Republic of Congo (DRC) was established by Resolution 1533 (2004) to monitor the implementation of the UN Security Council sanctions regime for the DRC. The GoE is composed of experts who conduct investigations in areas where armed groups are active, including into political and military leaders of armed groups, individuals and entities illegally supporting armed groups through illicit trade of natural resources, elements of state security forces engaged in illegal natural resource exploitation and due diligence implementation with regard to natural resources. www.un.org/sc/suborg/en/sanctions/

About the OECD Due Diligence Guidance

The OECD Due Diligence Guidance for Responsible Supply Chains of Minerals from Conflict-Affected and High-Risk Areas (OECD Mineral Due Diligence Guidance) provides detailed recommendations to help companies respect human rights and avoid contributing to conflict through their mineral purchasing decisions and practices. The OECD Due Diligence Guidance is for use by any company potentially sourcing minerals or metals from conflict-affected and high-risk areas. It is one of the international frameworks available to help companies meet their due diligence reporting requirements. This Guidance builds on the broader recommendations on responsible business conduct set out in the OECD Guidelines for Multinational Enterprises.

mneguidelines.oecd.org/mining.htm and http://mneguidelines.oecd.org/

■ Background

The ICGLR-OECD-UN Group of Expert Forum on Responsible Mineral Supply Chains was initiated in 2011. The Forum is jointly organised by the OECD, the International Conference on the Great Lakes Region (ICGLR) and UN Group of Experts on the Democratic Republic of Congo with the support of the European Union (EU).

■ Meeting format

The 11th ICGLR-OECD-UN GoE Forum on Responsible Mineral Supply Chains will focus on conformance with and implementation of the OECD Due Diligence Guidance for Responsible Supply Chains of Minerals from Conflict-Affected and High-Risk Areas (OECD Due Diligence Guidance), the ICGLR regional certification mechanism, and other initiatives to enable responsible mineral supply chains.

Specifically, the 11th Forum will explore the following themes:

- Regulatory, compliance and alignment efforts relating to responsible mineral supply chains;
- Collaboration between governments, companies and civil society for due diligence implementation;
- The human rights dimension of supply chain due diligence;
- Risks and due diligence efforts in mineral supply chains beyond tin, tantalum, tungsten and aold:
- Regional implementation of due diligence in Africa's Great Lakes region, West Africa, Latin America and key markets, such as China, and India among others.

■ Chatham House Rule

The meeting will be held under the Chatham House Rule from Tuesday 2 May 13:15 until the end of the day on 4 May 2017; exceptionally, the opening sessions in the morning of 2 May (from 11:00 to 12:45) will be open to the press. "When a meeting or part thereof, is held under the Chatham House Rule, participants are free to use the information received, but neither the identity nor the affiliation of the speaker(s), nor that of any other participant, may be revealed." This rule applies to social media.

Practical information

2-4 May 2017 | OECD Conference Centre, Room CC1

In light of increased security measures, you must pre-register for the meeting and print your validated registration to access the OECD reception area. On arrival, you must register at the Reception desk to obtain a visitor's badge. Please bring photo identification with you. Please arrive well in advance of the start of your meeting to allow sufficient time for the formalities.

5 May 2017 | OECD Conference Centre, Room CC1

The Forum will be followed by an OECD-World Bank event on artisanal and small scale mining which will take place on 5 May 2017 at 9:00 – 1:15 at the OECD Conference Centre.

■ Contact

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Events Coordinator, OECD Directorate for Financial and Enterprise Affairs



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■ DAY 1: TUESDAY, 2 MAY 2017 | General updates and overview

11:00 – 11:30	Forum opening	
• Room CC1	Moderator: Mr. Guus Houttuin , Trade Issues and Business Coordinator, European External Action Service and Chair of the OECD Multi-stakeholder Steering Group	
	Speakers:	
	Ms. Gabriela Ramos, OECD Chief of Staff and Sherpa to the G20	
	Mr. Zobel Behalal, UN Group of Experts on the Democratic Republic of the Congo	
	Ambassador Zachary Muburi-Muita, Executive Secretary of the International Conference on the Great Lakes Region	
	Keynote address	
	Ms. Cecilia Malmström, European Commissioner for Trade	
11:30 – 12:45	Responsible mining for peace, stability and development	
▶ Room CC1	This opening panel will reflect on the conditions in which responsible mining and market-based mechanisms for responsible mineral supply chains can help protect human rights and be a force for peace, stability and development. The panel will take stock of progress made so far, and identify challenges and opportunities for implementing responsible mineral sourcing efforts globally according to the OECD Due Diligence Guidance.	
	Moderator: Mr. Rintaro Tamaki, Deputy Secretary-General of the OECD	
	Speakers:	
	Ms. Cecilia Malmström, European Commissioner for Trade	
	Mr. Carlos Cante Puentes, Vice Minister of Mines, Colombia	
	Ambassador Zachary Muburi-Muita, Executive Secretary on the International Conference of the Great Lakes Region	
	Dr. Michael Gibb, Campaign Leader for Conflict Resources, Global Witness	
	Ms. Carolyn Duran, Director of Supply Chain Sustainability, Intel Corporation	
	Ms. Ruth Crowell, Chief Executive Officer, London Bullion Market Association, OECD MSG Vice-chair	
12:45 – 14:15	Lunch break	
13:15 -14:15	Lunch session: Introduction to the OECD National Contact Points	
• Room CC2	This session will be an opportunity for participants to learn about the NCP system, which provides a government-backed grievance mechanism for responsible business conduct under the OECD Guidelines for Multinational Enterprises.	
14:15 – 16:00	Regulatory efforts on responsible mineral supply chains and promotion of responsible sourcing by countries and key markets	
▶ Room CC1	This session will update participants on the latest developments in the drafting or implementation of due diligence-based regulations as well as other policies that are relevant to responsible mineral supply chains. The session will focus on the EU regulation, US Dodd-Frank Act and developments in China and their alignment to the OECD Due Diligence Guidance.	
	Moderator: Ambassador Zachary Muburi-Muita, Executive Secretary of International	

	Conference on the Great Lakes Region	
	Discussants:	
	Ms. Signe Ratso, Director, Trade Strategy and Analysis, Market Access, European Commission, Directorate General of Trade	
	Mr. Andrew Weinschenk, Director, Office of Threat Finance Countermeasures, Bureau of Economic and Business Affairs, United States Department of State	
	Mr. Nick Yuan, Deputy Section Chief, General Administration of Quality Supervision, Inspection and Quarantine, People's Republic of China	
16:00- 16:30	Coffee break	
16:30 – 17:30	OECD Alignment Assessment	
• Room CC1	This session will introduce the Alignment Assessment project, assessment methodology and cross-cutting issues identified in the pilot assessment of five industry programmes. The tool is designed to assess alignment of industry programmes' standards and implementation efforts with the OECD Due Diligence Guidance.	
	Moderator: Ms. Ana Novik, Head, Investment Division, OECD	
	Presenters: Ms. Hannah Koep-Andrieu , Policy Advisor, Responsible Business Conduct Unit, OECD and Mr. Andrew Britton , Managing Director, Kumi Consulting	
17:30 – 18:00	Presentation of the OECD minerals implementation programme	
▶ Room CC1	This session will focus on the progress made since May 2016 and the programme goals and activities for 2017 and 2018. The OECD will also provide an update on the Multi-Stakeholder Steering Group for the implementation programme on responsible mineral supply chains.	
	Moderator: Mr. Guus Houttuin , Trade Issues and Business Coordinator, European External Action Service and Chair of the OECD Multi-stakeholder Steering Group	
	Presenter: Mr. Tyler Gillard , Head of Sector Projects and Legal Advisor, Responsible Business Conduct Unit, OECD	
18:00- 20:00	Cocktail - Salon du Parc	

■ DAY 2: WEDNESDAY, 3 MAY 2017 | Monitoring uptake of the OECD Due Diligence Guidance, results to date, promoting the Guidance beyond tin, tantalum, tungsten and gold

9:00- 10:30 The role of adherent governments in promoting the OECD Due Diligence Guidance

Room CC1

This session will focus on the role of governments adhering to the OECD Recommendation of Council on Due Diligence Guidance for Responsible Mineral Supply Chains in promoting due diligence and supporting implementation, drawing from the findings in the 2015 report to OECD Council on country efforts. The session will seek to explore with panellists how governments could further address gaps in implementation over the next years.

Moderator: Mr. Guus Houttuin, Trade Issues and Business Coordinator, European External Action Service and Chair of the OECD Multi-stakeholder Steering Group

Discussants:

Ms. Charlotte Petri-Gornitzka, Chair of the OECD Development Assistance Committee

Professor Roel Nieuwenkamp, Chair of the OECD Working Party on Responsible Business Conduct

Ms. Elizabeth Orlando, Economic and Commercial Affairs Officer, U.S. Department of State

H. E. Pierre Duquesne, Ambassador and Permanent Representative of France to the OECD

Dr. Dirk-Jan Koch, Special Representative for Natural Resources, Netherlands

10:30-11:00

Coffee break

11:00 - 12:30 Parallel sessions

Upstream session

Improving conditions on the ground through risk mitigation

Room CC1

Downstream session

Risk-based approaches and smelter engagement

Room CC9

This session will analyse efforts to prevent or mitigate severe human rights impacts, including forced and child labour, as well as conflict financing, bribery, fraud and money laundering in mineral supply chains. Discussants are invited to provide tangible examples of on-the-ground risk mitigation measures, highlighting the challenges and how these were overcome. Where examples are not forthcoming, participants will be invited to identify the reasons for lack of on-the-ground progress, and the opportunities to strengthen implementation.

Moderator: Mr. Eric Kajemba, Director, Observatoire Gouvernance et Paix

Discussants:

Ms. Marlene Wafler, Technical Adviser, Pact

Mr. Jean-Paul Lonema, Chargé de certification, Partnership Africa Canada

Mr. Safanto Bulongo, Coordinator, Max Impact

This session will analyse how downstream companies can strengthen risk-based approaches to collect and verify information from suppliers, support smelter engagement and assessments, and direct sourcing to responsible smelters. Discussants are invited to provide examples and case studies of approaches taken in supply chains of varying complexity, highlighting the challenges, with a view to supporting improved understanding of good practices. Where examples are not forthcoming, participants will be invited to identify the reasons for lack of progress, and the opportunities to strengthen implementation.

Moderator: Ms. Seema Joshi, Head of Business and Human Rights, Amnesty International

Discussants:

Mr. David Hancock, Supplier Management, Procurement Policy, Boeing

Mr. Michael Loch, President, Responsible Trade LLC

Mr. Marcin Piersiak, Deputy Director, Alliance for Responsible Mining

Mr. Richard Robinson, Managing Director, Alphamin Bisie Mining

Ms. Leah Butler, Program Director, Conflict Free Sourcing Initiative

Mr. Joel Sherman, Director of Compliance and Sustainability, Kemet

12:30 – 13:00 Report back from parallel sessions

Room CC1

The sessions will seek to reconcile discussions from upstream and downstream sessions and analyse the appropriate role for downstream companies to assess and support improvement on the ground through engagement with smelter and refiners in their supply chain, or by other means.

- Ms. Seema Joshi, Head of Business and Human Rights, Amnesty International
- Mr. Eric Kajemba, Director, Observatoire Gouvernance et Paix

13:00 - 14:15

Lunch break

14:15 -16:00

Beyond 3Ts and gold – the applicability of the OECD Guidance to all mineral supply chains

14:15-14:45

OECD Handbook on risks associated with production and trade of natural resources

Room CC1

This session will introduce the on-going work at the OECD to prepare a virtual handbook and repository of information on risks associated with production and trade of natural resources. This tool will seek to provide companies with high-level information on reported risks associated with countries and specific mineral supply chains to support prioritisation of risk-based due diligence for mineral resources, beyond tin, tantalum, tungsten and gold. The Handbook will aim to collect and rationalise available information on supply chains and cross-cutting risks such as support to armed conflict, bribery, fraud, but also serious human rights abuses such as the worst forms of child labour, as set forth in Annex II of the OECD Guidance.

Moderator: Mr. Tyler Gillard, Manager of Sector Projects and Legal Advisor, Responsible Business Conduct Unit, OECD

Presenters: Mr. Louis Maréchal and **Mr. Rashad Abelson**, Policy Advisors, Responsible Business Conduct Unit, OECD

14:45 - 16:00

Mineral specific break-out sessions

These sessions will explore risks related to human rights, conflict, money laundering, bribery and tax evasion that may be associated with the production and trade of various mineral resources, and explore relevant due diligence efforts or initiatives in those supply chains, including the opportunities for alignment with the OECD Guidance

Mica

Room CC16

Moderator: Dr.
Daniel Franks,
Programme
Manager, ACP-EU
Development
Minerals
Programme, United
Nations
Development
Programme

Discussants:

Cobalt

Room CC1

Moderator: Ms. Shivani Kannabhiran. Policy Advisor, Responsible Business Conduct Unit OECD

Discussants:

Mr. Raul Sanchez de la Sierra, Assistant Professor, University of California, Center for Effective Global Action

Coal

Room CC15

Moderator: Dr. Dirk-Jan Koch, Special Representative for Natural Resources, Netherlands

Discussants:

Mr. Carlos Cante, Vice Minister of Mines, Colombia

Ms. Maria van der Heide, Policy advisor

Precious Stones

Room CC9

Moderator: Mr.
Andrew Bone,
Executive
Director,
Responsible
Jewellery Council

Discussants:

Mr. Jean Claude Michelou, Senior Technical Adviser, UNICRI Ms. Tirza Voss, Private Sector Advisor, Terre des Hommes Netherlands

Ms. Magda Carrasco, Sustainable Purchasing Director, L'Oréal

Dr. Christoph
Soukup, Manager
Sustainability
Management
Procurement,
Daimler

Ms. Fanny Fremont, Project Manager, Responsible Mica Initiative Mr. Jonas de Schaepmeester, Supply Chain Sustainability Manager, Umicore

Mr. Harrison Mitchell, Director Responsible Supply Chains, RCS Global

Ms. Ashley Orbach, Head of Strategy and Partnerships, Apple

Mr. Sun Li Hui, Director, Development Department, China Chamber of Commerce, Metals, Minerals and Chemicals

Ms. Seema Joshi, Head of Business and Human Rights, Amnesty International corporate accountability and natural resources, Action Aid

Ms. Anne-Claire Howard, Executive Director, BetterCoal

Dr. France Bourgouin, Head of Responsible Sourcing, Dong Energy Mr. Offah Obale, Researcher, Partnership Africa Canada

Mr. John Hall, Consultant, Signet

Mr. Assheton Stewart Carter, Director, Dragonfly Initiative

16:00 - 16:30

Coffee break

16:30 - 18:00 Parallel sessions

Role of the financial sector in supporting responsible mineral sourcing

Room CC1

Participants in this session will discuss due diligence efforts of institutional investors, banks and other financing institutions to support responsible mineral supply chains of their investee companies or clients in line with the OECD Guidance. It will provide a perspective on why investors are increasingly looking at due diligence as a means to evaluate their investments.

Moderator: Mr. Mamadou Barry, Senior Mining Specialist, Energy and Extractives Global Practice, World Bank Group

Discussants:

Mr. Arthur Van Mansvelt, Senior Sustainability Analyst, Triodos Investment Management

Mr. Ruben Zandvliet, ESE Risk Advisor, ABN AMRO

Mr. Stéphane Brabant, Partner, Herbert Smith Freehills

Ms. Emily Norton, Conflict and Fragile States, Global Witness

Integrating gender considerations into supply chain due diligence

Room CC9

This panel will provide insight and practical examples on current gender-based priorities, risks and opportunities associated with extraction, sourcing and trade of high-value minerals in conflict-affected and high-risk areas. The session will focus on how to identify, analyse and mitigate risks in the supply chain to ensure that women, girls and vulnerable groups are not negatively impacted by extraction, sourcing and trade.

Moderator: Dr. Dirk-Jan Koch, Special Representative for Natural Resources, Netherlands

Discussants:

Ms. Aline Providence Nkundibiza, President, Women In/And Mining Organization, Rwanda

Ms. Joanne Lebert, Executive Director, Partnership Africa Canada

Ms. Jennifer Hinton, consultant to Government of the Netherlands, Gender Resource Facility

Mr. Ryan Taylor, Co-founder, Fair Trade Jewellery

Ms. Kelly Katynski, Purchasing Sustainability Manager, Ford Motor Company

■ DAY 3: THURSDAY, 4 MAY 2017 | The global dimensions of the OECD Guidance

9:00 – 12:45	Responsible mineral supply chains in Africa's Great Lakes Region		
9:00 – 10:30	Promoting coherence between due diligence, on-the-ground programmes and the ICGLR regional Initiative against the illegal exploitation of natural resources		
• Room CC1	This session will examine opportunities and challenges in ensuring responsible mine supply chains in Africa's Great Lakes Region by focusing on implementation of a diligence and ICGLR initiative. Specifically, panellists will be asked to assess practical relationship between due diligence, existing on-the-ground initiatives, man acceptance and ICGLR activities including audits. ICGLR countries will be invited provide updates on progress if relevant.		
	Moderator: Mr. Gérald Pachoud, Managing Partner, Pluto & Associates		
	Presentation: Ambassador Ambeyi Ligabo, Director for Democracy and Good Governance programme, International Conference on the Great Lakes Region Discussants:		
	Mr. Joseph Butera, Mining & Petroleum Economist of the Ministry of Natural Resources, government of Rwanda		
	Dr. Jean Didier Losango, Independent Mineral Chain Auditor, ICGLR		
	Mr. Zobel Behalal, UN Group of Experts on the Democratic Republic of the Congo		
	Mr. Joseph Ikoli Yombo, Deputy head of Cabinet of Mines' Minister, Democratic Republic of Congo		
	Mr. Mohamed Cherif Diallo, Project Coordinator (South Kivu & Maniema) International Organization of Migration (IOM)		
10:30 – 11:15	Coffee break		
11:15 – 12:45	Parallel sessions		

Gold from the Great Lakes Region

Room CC1

This session will focus on the regional and global flows of gold, and actions stakeholders are taking to promote a responsible gold supply chain. Participants will be asked to address on-going concerns with regards to smuggling, illegal flows, and human rights impacts and provide views on how best to address these problems.

Moderator: Dr. Dirk-Jan Koch, Special Representative for Natural Resources, Netherlands

Discussants:

Mr. Pascal Nyembo, Deputy Director General, Centre for Expertise, Evaluation and Certification, DRC

Mr. Alain Goetz, African Gold Refinery Uganda

Dr. Bali Barume, German Federal Institute of Geosciences and Natural Resources (BGR)

Mr. Guillaume de Brier, Researcher, International Peace Information Service (IPIS)

3Ts from the Great Lakes Region

Room CC9

This session will go in-depth on the relationship between due diligence and ICGLR activities including audits and on-the-ground initiatives in 3T production and trade, with a view to promoting coherence, efficiencies of scale and effective due diligence in line with international standards. Participants will be asked to focus specifically on what actions need to be taken to promote cohesion and avoid duplication.

Moderator: Ms. Leah Butler, Program Director, Conflict Free Sourcing Initiative

Discussants:

Ms. Kay Nimmo, Manager of Sustainability and Regulatory Affairs, ITRI

Mr. John Kanyoni, Vice-president, Chamber of Mines, Féderation des Entreprises du Congo(FEC)

Mr. Don Binyina, Chair, ICGLR Regional Audit Committee

Mr. Benjamin Clair, Managing Director, Better Sourcing Programme

12:45 - 14:00 Lunch session: SMEs and due diligence Room CC9 Consultation on European Commission project to build the capacity of European SMEs to conduct due diligence (hosted by Estelle Levin Ltd). 14:15 - 17:30 Country workshops Country workshops will explore risks related to human rights, conflict, bribery, money laundering, and tax evasion that may be associated with the production or trade of minerals in these countries. The sessions will highlight the challenges, note progress to date and suggest ways forward to promote responsible mineral supply chains in line with the OECD Guidance. Sessions will also provide updates on due diligence implementation and specific initiatives. 14:15 - 15:30 Colombia India Myanmar Room CC15 Room CC1 Room CC9 Moderator: Dr. Gudrun Moderator: Mr. Rein Moderator: Mr. John Franken, Economic Geology of Nieland, Policy Officer, Mulligan, Director of Mineral Resources, Federal European Commission-DG Member and Market Relations, World Gold Institute for Geosciences and Trade Natural Resources (BGR) Council Discussants: Discussants: Discussants: Mr. Carlos Cante Puentes. Ms. Elin Wrzoncki, Programme Vice Minister of Mines. Mr. Sabyasachi Ray, manager, Danish Institute for Colombia Executive Director, **Human Rights** Gem Jewellery Export Ms. Hannah Koep-Andrieu, Policy Advisor, Responsible **Promotion Council** Mr. Mung Don, Myanmar Alliance for Transparency and Business Conduct Unit, India Accountability (MATA) **OECD** Mr. Raul Gupta, Mr. Jeffrey Bilgore, President, Mr. Frederic Massé and Mr. Bullion Federation of American Gem Trade Juan Munevar, Consultants India Association (AGTA) - OECD Gold baseline Mr. Tyler Gillard assessments Ms. Leah Butler, Program Head of Sector Director, Conflict Free Sourcing Ms. Francia Marquez, Projects and Legal Initiative Community representative, Advisor, Responsible Colombia **Business Conduct** Ms. Kay Nimmo, Program Unit. OECD Manager, ITRI Mr. Rolberto Alvarez, President of La Fortaleza Mr. Ross Strachan, Agro-mining Association Manager, Precious Metals Demand, Europe & Americas, Thomson Reuters 15:30-16:15 Coffee break 16:15 – 17:30 West Africa People's Republic of China Room CC9 Room CC1 Moderator: Mr. Mamadou Barry, Senior Moderator: Mr. Tyler Gillard, Head of Sector Mining Specialist, Energy and Projects and Legal Advisor, Responsible

Business Conduct Unit, OECD

Mr. Nick Yuan, General Administration of

Quarantine, People's Republic of China

Mr. Sun Li Hui, Director, Liaison Department,

Quality Supervision, Inspection and

Discussants:

Extractives Global Practice, World Bank

Mr. Adama Sangaré, Chef de service

Industries et Mines, Autorité du Liptako-

Group

Gourma

Discussants:

	Mr. Rami Shakarchi, Managing Director, Emirates Gold DMCC	China Chamber of Commerce, Metals, Minerals and Chemicals
	Dr. Tony Aubynn , Chief Executive Office, Ghana Minerals Commission	Mr. Daniel Taras, Global Programme Director, Emerging Market Sustainability Dialogues, GIZ
	Dr. Michel Yoboue , Executive Director, Research and Advocacy Group on Extractive Industries	Ms. Lizzie Parsons, China Programme Leader, Global Witness
17:30 - 18:15	Closing session	
₱ Room CC1	Concluding remarks and report back from country sessions.	

