

OPEN DOOR SIDE MEETING SCHEDULE

13TH FORUM ON RESPONSIBLE MINERAL SUPPLY CHAINS

DISCLAIMER: The below sessions are organised and led by partner organisations, not the OECD. Questions relating to the session descriptions and participation should be directed to the indicated contacts.

TUESDAY, 23 APRIL 2019

All week

Golden Line Photo Exhibition

📍 Conference Centre Entrance Hall

Participants are invited to the official opening of The Golden Line Photo Exhibition. The exhibition tells the story of female gold mine workers in Ghana and Tanzania. It shows the challenges faced by female gold miners but above all, the potential and strength of women from gold mining communities. The Golden Line is an initiative of Simavi, Solidaridad and Healthy Entrepreneurs to economically empower women in and around artisanal and small-scale gold mining communities in Ghana and Tanzania.

With funding from the Netherlands Ministry of Foreign Affairs, Golden Line is implementing a five year programme (2016 - 2020) that aims to improve the working conditions for women in these communities and their abilities to engage in economic activities.

14:00 – 15:00

ITA-RMI: Draft Tin Smelter Standard Consultation

📍 Chateau Room E

The International Tin Association (ITA) and the Responsible Minerals Initiative (RMI) are collaborating on the development of a joint tin smelter audit standard, that is aligned with the OECD Guidance, meets upstream-downstream expectations, and helps companies meet compliance requirements. ITA and RMI are seeking stakeholder input to further refine and improve the standard before formal issuance. Please join for an informational session about the joint standard to learn more, ask questions, and provide input.

Open Meeting - Registration: https://rba.swoogo.com/RMI_DTSSC2019

Contact: Ms. Michele Bruehlhart mbruehlhart@responsiblebusiness.org

WEDNESDAY, 24 APRIL 2019

8:00 – 9:00

The Power of Gender & the Launch of the Gender and Mining Encyclopedia in two parts

📍 Room CC13

Part 1: The Power of Gender is an engaging and insightful way to illustrate the gender impact in mining and mineral supply chains. The aim of this interactive workshop is for participants to reflect on how power and privilege affects how peoples' lives are impacted by mining.

Part 2: Gender and Mining Encyclopedia will be launched during this event and it offers a snapshot of the key actors, initiatives and programs in the field of gender and mining. It is published to help practitioners gain an overview of the sector and ideas for projects or collaborations.

Contact: Ms. Lisa Stellner, lisa.stellner@giz.de

11:00 – 12:30

Cobalt Institute / RCS Global: Establishing the Cobalt Industry Responsibility Assessment Framework (CIRAF)

📍 Room CC18

In January this year, the Cobalt Institute launched the Cobalt Industry Responsible Assessment Framework (CIRAF). The CIRAF will provide a good practice management framework for identifying and addressing risks in the cobalt supply chain and will

enable participants to demonstrate that they are aligned with global good practice on responsible production and sourcing, including the OECD Due Diligence Guidance. Four months after launch, this session offers participants the chance to hear progress updates from the Cobalt Institute and participating cobalt companies, as well as comments from key stakeholders including civil society. This meeting will take place under the Chatham House Rule.

Contact: Ms. Alice Valvodova, alice@rcsglobal.com

13:30 – 15:00

IPIS/Ulula - Assessing the impact of Due Diligence programmes in eastern DRC: A baseline Study

 Auditorium

Over the past decade the conflict in Congo and its ensuing effects in the Great Lakes region have instigated the development of upstream due diligence programmes (DDP) in gold, tin, tantalum, and tungsten (3Ts) supply chains. Due diligence programmes include the International Tin Association's International Tin Supply Chain Initiative (ITSCI) for 3T minerals and programmes covering artisanal gold supply chains in eastern DRC such as the 'Just Gold' project by the NGO IMPACT, the BGR project by the German Federal Institute for Geosciences and Natural Resources and the US consulting firm, Tetra Tech, Inc. In this session, the International Peace Information Service (IPIS) and Ulula present baseline research findings analyzing the local context where DDP operate and the impact of due diligence programmes on social, environmental and human rights indicators in eastern Congo in line with OECD guidelines on responsible supply chains—Annex II. The report relies on data collected from a combination of field visits of mining sites and remote mobile phone surveys targeting people living in and around mining communities in eastern D.R. Congo.

Contact: Mr. Antoine Heuty, antoine@ulula.com

13:30 – 15:00

Cordaid: The new Congolese Mining Code and the EU regulation on responsible sourcing from conflict-affected and high-risk areas, challenges and opportunities for public and private actors

 Chateau Room E

This session will explore the interactions between national legislation on mining and the European legislation on the responsible sourcing of 3T and gold from conflict-affected and high-risk areas. In particular, the session will explore the implications of the new mining code in the Democratic Republic of the Congo (DRC) for different types of actors involved in mineral production and responsible sourcing in the DRC. Panellists will discuss the opportunities and constraints that the law poses for the implementation of the EU legislation, and the way in which different actors navigate different levels of regulation.

Contact: Mr. Fabien Mayani, Fabien.Mayani@cordaid.org

14:00 – 16:00

Trazoro: Traceability, Transparency and Tranquility. Technology at the service of the precious metals supply chain.

 Franqueville Bilateral Room 4

Trazoro is an SaaS RegTech platform that allows marketers to comply with current national and international regulations. Through technology, Trazoro is able to generate trust in all the links that have to do with the business, the most important being the financial sector. The goal is to achieve total financial inclusion of subsistence miners. Trazoro manages to generate and technologically verify the physical traceability of gold, while at same time we manage to support the financial traceability of the income of the resources to the country and the final payment to the miners using electronic payments from the financial sector with the neobanks and fintech approved by the competent authorities.

Contact: Mr. Carlos Andrés Mejía, tessmejia@gmail.com

13:30 – 14:30 **GRI – RMI Stakeholder Informational Session: Advancing Reporting on Minerals Due Diligence**

📍 Room CC18 Join GRI and RMI for an informational session presenting Stakeholder expectations and best practices: Advancing reporting on minerals due diligence, a toolkit for reporting on the impacts of mineral sourcing and minerals due diligence. The session will summarize the key aspects of the toolkit and offer a space to learn about and discuss flexible, practical approaches to disclose on the topic.

Contact: Ms. Rumyana Taneva, Taneva@globalreporting.org

16:00 – 17:00 **Swedwatch: Selling mining machinery on high-risk markets – the need for downstream due diligence to avoid complicity in human rights violations**

📍 Auditorium This panel will illustrate the need for responsible sales practices when selling mining equipment on high-risk and conflict-affected markets. Swedwatch will present research from Myanmar and South Africa which documents severe human rights violations and adverse impacts in communities as a result of the use of heavy mining machinery. The panel will focus on downstream responsibilities from an OECD and UNGP perspective and discuss what mining machinery providers can do in order to avoid contributing to severe negative human rights impacts, caused by the use of their products.

Contact: Ms. Therese Sjöström, therese@swedwatch.org

16:00 – 17:00 **Council on Ethics: Responsible Investment in Mining Activities**

📍 Room CC18 Following the failing of the Vale dam in Brumadinho, Brazil and the tragic loss of lives, livelihoods as well as devastating environmental impact, the Church of England Pensions Board, Church Commissioners for England, along with Sweden's Public Pension Funds Council on Ethics and funds representing over €1.1 trillion in assets under management are working for a global independent public classification system that monitors the safety risk of mining company tailings dams. As a foundation an investor letter will be sent to over 500 companies seeking disclosure on the location, status and analysis of downstream impact. Also as part of the wider process, the International Council on Mining & Metals (ICMM), the United Nations Environment Programme (UNEP) and the Principles for Responsible Investment (PRI), announced that they will co-convene an independent review that will establish an international standard on tailings storage facilities. Both projects will be presented as will the recently launched Investor expectations statement on responsible sourcing of cobalt.

Contact: Mr. John Howchin, John.Howchin@councilonethics.org

16:30 – 17:30 Responsible Minerals Initiative: Open Stakeholder Consultation – Emerging Risks

📍 Room CC13 The RMI invites stakeholders, members and partners for an open consultation on selected tools and programs with a view to inform their development or revisions. During this session, the RMI invites stakeholders to provide their views on the following topics:

- Risk Readiness Assessment: The RMI is reviewing its [Risk Readiness Assessment](#) platform in 2019.
- Blockchain Guidelines: The RMI is asking for inputs from our stakeholders on the [Blockchain Guidelines](#).
- Minerals Grievance platform: The RMI is requesting feedback on the new [Minerals Grievance Platform](#) where interested key stakeholders have an opportunity to test out the [Demo version of the Platform](#).

Open Meeting - Registration: https://rba.swoogo.com/RMI_OSC2019

Contact: Ms. Marianna Smirnova, msmirnova@responsiblebusiness.org

THURSDAY, 25 APRIL 2019

9:00 – 10:30 University of Delaware: Minerals, Materials and Society and The Gemstone and Sustainable Development Knowledge Hub (GemHub)

📍 Auditorium This session will provide an introduction to the coloured gemstone industry, outlining issues, risks and opportunities, and the GemHub's academic research focus on coloured gemstone ASM and gem cutting sectors. Learn about the latest transparency and traceability initiatives for the coloured gemstone supply chain, and educational opportunities to address supply chain sustainability.

Contact: Ms. Patricia Syvrud, psyvrud@udel.edu

9:00 – 11:00 Swiss Agency for Development and Cooperation: Claiming rights and changing minds: pathways to success in ASM formalisation and responsible sourcing in Mongolia

📍 Room CC13 Through the Sustainable Artisanal Mining project (SAM), Swiss Development and Cooperation has taken the lead in the formalization of artisanal and small-scale mining in Mongolia since 2005. The Project is currently in its fourth phase and will continue until December 2019. With a view to achieving sustainability and ownership by national stakeholders, during Phase 4 SAM has gradually withdrawn from direct interventions and concentrated its resources on the institutional strengthening of partners, supporting policy implementation and sharing knowledge. Join our multi-stakeholder panel to explore key lessons learned across policy and practice and share how SAM's human-rights based approaches to legalisation, trans-ministerial cooperation, institutional strengthening (including institutionalisation of ASM at central and local governments), supply chain decentralisation, environmental management (including of mercury, mining in protected areas, and rehabilitation), knowledge management, building a national ASM database, and supply chain certification have moved the formalisation rate from 0 to 16% of miners by 2018, with 50% of ASM provinces having created access to official ASM land.

Contact: Mr. Altanbagana Bayarsaikhan, altanbagana.bayarsaikhan@sam.mn

9:30 – 11:00

ICMM: Good practice in large-scale mining: Human rights due diligence and ICMM's performance expectations

📍 Room CC24 This session will provide a perspective on human rights due diligence and good practice in large-scale mining. We will begin with an update on ICMM's Performance Expectations, a set of specific requirements with site-level validation designed to respond to customer due diligence in mineral supply chains. We will deep dive into what human rights due diligence looks like for large-scale mining companies on the ground and how it aligns with the UN Guiding Principles. Finally, we ask how mining company human rights management on the ground and customer sector due diligence can effectively link and support responsible sourcing.

Contact: Ms. Hafren Williams, hafren.williams@icmm.com

9:45 – 11:15

European Partnership for Responsible Minerals: EPRM in the field

📍 Room CC18 This session provides you with more insights on the European Partnership in general and with special attention on the work of the EPRM in mining areas around the world. In the last two years the EPRM has supported 7 projects in different countries and in 2019 more projects are expected to be funded. During this side session two of these projects will highlight how they work towards improving conditions in and around mines and how these projects connect to the supply chain. The selected projects are the Responsible Kenyan Gold project and the Indonesian Tin Working Group. This will be followed by a panel discussion which allows participants to learn more about the project, the work of the EPRM and how supply chain actors can become increasingly involved in these projects and in the EPRM.

By invitation only. Please contact the EPRM Secretariat EPRM@rvo.nl

11:15 – 12:45

RCS Global Group: Supply Chain Mapping & Assessment & Better Cobalt Mine Score Card: Translating due diligence data into impact

📍 Auditorium Benchmarking, monitoring impact and measuring continuous improvement performance of ASM sites (and ASM communities) to meet downstream industry expectations for responsible ASM production and positive social impact.

Contact: Mr. Nicholas Garret, nicholas@rcsglobal.com

11:30 – 12:45

Anexpo: Artisanal Mining in Colombia

📍 Room CC13 The Side Session will present the joint effort between the Colombia International Gold Trader ANEXPO, the Colombian NGO Atabaque, the Better Gold Initiative (BGI) and Swiss Better Gold Association (SBGA) with its members Valcambi and Chopard to establish a verified responsible and traceable gold supply chain from the mine to the market. We will discuss the challenges and solutions in applying due diligence criteria with a large number of artisanal miners who work independently (no organizational structures) in a conflict affected and high risk area of Colombia. In the first phase 500 "barequeros" from the Chocó Department will export an estimated 8 kg of gold per month to Switzerland, with potential to increase by the end of this year to 20kg/month benefitting more artisanal miners.

Contact: Mr. Kenneth Porter, estrategico@anexpo.com.co

12:30 – 14:30

OECD Responsible Business Conduct Unit: Promoting responsible vehicle parts supply chains in Asia

📍 Chateau
Room 320

Information session and exchange on the OECD's activities to promote responsible vehicle parts supply chains in Asia, notably Japan and Thailand. This info session will be relevant for companies in the automotive and vehicle parts sector, especially those with suppliers or operations in Japan and Thailand. This session is an opportunity for interested companies to engage with the OECD's global partnerships work on Responsible Business Conduct (RBC) in the region, and help promote a common approach to RBC and supply chain due diligence.

Contact: Ms. Stephanie Venuti, Stephanie.Venuti@oecd.org

14:00 – 15:00

European Commission DG GROW: Due Diligence Ready! A portal to help SMEs comply with the EC Regulation on responsible sourcing of 3TGs

📍 Auditorium

This session will introduce the upcoming EC-funded SMEs support tool on due diligence. The aim is to give an overview of the portal's content and the benefits to different users (e.g. SMEs, companies, national competent authorities, and industry associations). The session will welcome views and ideas on the tool and its outreach. Project team: Oeko-Institut e.V., Levin Sources, Zabala Innovation Consulting.

Contact: Ms. Fabiana Di Lorenzo (Levin Sources; fabiana.dilorenzo@levinsources.com) and Ms. Doris Schüler (Oeko-Institut; d.schueler@oeko.de)

14:00 – 16:00

IRMA, MAC, ResponsibleSteel, RJC: Mining, minerals and metals certification teaming up on responsible sourcing

📍 Room CC24

There are many initiatives working to promote responsible sourcing of mined materials and there are growing calls for these initiatives to work together to achieve greater impact. Four leading initiatives are creating a joint platform to achieve this: the Initiative for Responsible Mining Assurance (IRMA), Mining Association of Canada's Towards Sustainable Mining (TSM) program, ResponsibleSteel and the Responsible Jewellery Council (RJC). Find out more at this introductory session.

Contact: Mr. Marnie Bammert, mbammert@responsiblesteel.org

16:30 – 18:00

CRAFT: Application of Due Diligence under a development approach

📍 Room CC16

The CRAFT Code is an open-source due diligence tool and progressive standard for artisanal and small scale mining that helps miners and buyers to assess and address social and environmental challenges that are essential for entering and progressing in formal markets. In this discussion, we will hear user experiences and lessons learned from pilots, discuss ideas for potential additional uses of CRAFT, and explore opportunities to improve the code in its second round of development/stakeholder consultation. We'll also discuss our concept for a CRAFT Impact Marketplace, a non-proprietary online platform profiling and linking artisanal miners, downstream buyers, potential sources of finance, NGOs, other providers of technical support or training, and donors who wish to support improvements in the sector.

Contact: Ms. Natalia Uribe, nataliauribe@responsiblemines.org